

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Att rn y D ck t N . 42P10970D
(maximum 12 characters)First Named Inventor Shahriar Ahmed et al.Title: SUPER SELF-ALIGNED COLLECTOR DEVICE FOR MONO-AND HETERO BIPOLAR JUNCTION TRANSISTORS, AND METHOD OF MAKING SAMEExpress Mail Label No. EV 336 581 138 US08306 U.S. PRO
10/632944
07/31/03**ADDRESS TO:** Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. **Specification (Total Pages 19)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. **Drawings(s) (35 USC 113) (Total Sheets 7)**
5. **Oath or Declaration (Total Pages 4)**
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 18 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper

c. _____ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. **Assignment Papers (cover sheet & documents(s))**
10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
 b. Power of Attorney
11. English Translation Document (if applicable)
12. a. Information Disclosure Statement (IDS)/PTO-1449 (or PTO/SB/08)
 b. Copies of IDS Citations
13. **Preliminary Amendment**
14. **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. **Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17A. Other: _____

17B. Pursuant to 37 C.F.R. 1.136(a)(3), applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 C.F.R. 1.16 and 1.17, to Deposit Account No. 02-2666.

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet Under 37 C.F.R. 1.76:

Continuation Divisional Continuation-in-part (CIP)
Of Prior Application No.: 10/013,075 Examiner Im, Junghwa M. Group Art Unit 2811
(which is a continuation/ divisional/ CIP of prior application no. _____,
which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. Correspondence Address
Customer Number or Bar Code Label _____
or _____ (Insert Customer No. or Attach Bar Code Label
here)
 Correspondence Address Below
NAME Michael A. Bernadicou
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
ADDRESS 12400 Wilshire Boulevard
Seventh Floor
CITY Los Angeles STATE California ZIP CODE 90025
Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-8383

Name (PRINT/TYPE): Michael A. Bernadicou Registration No.: 35,934
Signature: Michael A. Bernadicou Date: 7/31/03

FEE TRANSMITTAL FOR FY 2003

TOTAL AMOUNT OF PAYMENT (\$) \$750.00

Complete if Known:

Application No. Not yet assigned

Filing Date Herewith

First Named Inventor Shahriar Ahmed et al.

Group Art Unit Not yet assigned

Examiner Name Not yet assigned

Attorney Docket No. 42P10970D

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
Deposit Account Name

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed: X Check
 Money Order
 Other

FEE CALCULATION

1. BASIC FILING FEE

| <u>Large Entity</u> | <u>Small Entity</u> | <u>Fee Description</u> | <u>Fee Paid</u> |
|-------------------------------|---------------------|------------------------|-----------------|
| Fee | Fee | Fee | Fee |
| Code | (\$) | Code | (\$) |
| 1001 | 740 | 2001 | 370 |
| 1002 | 330 | 2002 | 165 |
| 1003 | 510 | 2003 | 255 |
| 1004 | 740 | 2004 | 370 |
| 1005 | 160 | 2005 | 80 |
| SUBTOTAL (1) \$ 750.00 | | | |

2. EXTRA CLAIM FEES

| | <u>Extra Claims</u> | <u>Fee from below</u> | <u>Fee Paid</u> |
|-----------------------------|---------------------|-----------------------|-----------------|
| Total Claims <u>12</u> | <u>- 20** = 0</u> | <u>X \$18.00</u> | <u>= _____</u> |
| Independent Claims <u>1</u> | <u>- 3** = 0</u> | <u>X \$84.00</u> | <u>= _____</u> |
| Multiple Dependent | | <u>\$280.00</u> | <u>= _____</u> |

**Or number previously paid, if greater; For Reissues, see below.

| <u>Large Entity</u> | <u>Small Entity</u> | <u>Fee Description</u> |
|-----------------------------|---------------------|------------------------|
| Fee | Fee | Fee |
| Code | (\$) | Code |
| 1202 | 18 | 2202 9 |
| 1201 | 84 | 2201 42 |
| 1203 | 280 | 2203 140 |
| 1204 | 84 | 2204 42 |
| 1205 | 18 | 2205 9 |
| SUBTOTAL (2) \$ 0.00 | | |

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | | |
|---------------------|------------|---------------------|------------|--|-----------------|
| Fee | Fee | Fee | Fee | Fee Description | Fee Paid |
| Code | (\$) | Code | (\$) | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | _____ |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | _____ |
| 1053 | 130 | 1053 | 130 | Non-English specification | _____ |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | _____ |
| 1813 | 8,800 | 1813 | 8,800 | Request for inter parties reexamination | _____ |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | _____ |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | _____ |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | _____ |
| 1252 | 400 | 2252 | 200 | Extension for reply within second month | _____ |
| 1253 | 920 | 2253 | 460 | Extension for reply within third month | _____ |
| 1254 | 1,440 | 2254 | 720 | Extension for reply within fourth month | _____ |
| 1255 | 1,960 | 2255 | 980 | Extension for reply within fifth month | _____ |
| 1401 | 320 | 2401 | 160 | Notice of Appeal | _____ |
| 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | _____ |
| 1403 | 280 | 2403 | 140 | Request for oral hearing | _____ |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | _____ |
| 1452 | 110 | 2452 | 55 | Petition to revive – unavoidable | _____ |
| 1453 | 1,280 | 2453 | 640 | Petition to revive - unintentional | _____ |
| 1501 | 1,280 | 2501 | 640 | Utility issue fee (or reissue) | _____ |
| 1502 | 460 | 2502 | 230 | Design issue fee | _____ |
| 1503 | 620 | 2503 | 310 | Plant issue fee | _____ |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | _____ |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | _____ |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | _____ |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | _____ |
| 1809 | 740 | 2809 | 370 | For filing a submission after final rejection (see 37 CFR 1.129(a)) | _____ |
| 1814 | 110 | 2814 | 55 | Statutory Disclaimer | _____ |
| 1810 | 740 | 2810 | 370 | For each additional invention to be examined (see 37 CFR 1.129(b)) | _____ |
| 1801 | 740 | 2801 | 370 | Request for Continued Examination (RCE) | _____ |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | _____ |
| 1504 | 300 | 1504 | 300 | Publication fee for early, voluntary, or normal pub. | _____ |
| 1505 | 300 | 1505 | 300 | Publication fee for republication | _____ |
| 1803 | 130 | 1803 | 130 | Request for voluntary publication or republication | _____ |
| 1808 | 130 | 1808 | 130 | Processing fee under 37 CFR 1.17(i) (except provisionals) | _____ |
| 1454 | 1,280 | 1454 | 1,280 | Acceptance of unintentionally delayed claim for priority | _____ |

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 0.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Michael A. Bernadicou

Signature: Michael A. Bernadicou Dat : 7/31/03

Reg. Number: 35,934 Telephone Number: (408) 720-8300